

# Pseudo-Random Pseudo-Distributions (for Read-Once Branching Programs)

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joint work with **Mark Braverman** and **Sumegha Garg**

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# Outline

- 1 The **BPL** vs. **L** Problem
- 2 The  $\beta$ -Polynomial
- 3 Our Contribution
- 4 Nisan's Construction
- 5 Some Ideas From Our Work

# The **BPL** vs. **L** Problem

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Derandomize with minimal overhead in space.

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- Given how? Black-box access.
- In the regime  $S(n) = \Omega(\log n)$ , whether or not a derandomization with constant overhead in space  $S' = O(S)$  is possible is the **BPL** = **L** question.

# What is known?

- Savitch's Theorem (1970) implies  $\mathbf{RL} \subseteq \mathbf{L}^2$ .
- Borodin-Cook-Pippenger (1983) established  $\mathbf{BPL} \subseteq \mathbf{L}^2$ .
- Nisan (1992, 94) proved that  $\mathbf{BPL} \subseteq \mathbf{SC}$ .
- The state-of-the-art result concerning space only is due to Saks and Zhou (1999) who proved  $\mathbf{BPL} \subseteq \mathbf{L}^{3/2}$ .
- The  $\mathbf{BPL}$  vs.  $\mathbf{L}$  problem has been studied extensively with a fantastic array of results (e.g., Reingold's  $\mathbf{SL} = \mathbf{L}$  (2005)). An extensive pseudorandom machinery was developed motivated by this problem (e.g., Impagliazzo-Nisan-Wigderson (1994), Nisan-Zuckerman (1996), and Raz-Reingold (1999)).

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# The $\mathcal{B}$ -Polynomial

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Derandomization is typically executed using pseudorandom generators. In our setting, the PRG are constructed for read-once branching programs. We will take a somewhat different perspective on this.

Definition (The  $\mathcal{B}$ -polynomial)

$$\mathcal{B}(\bar{x}, \bar{y}) = 2^{-n} \prod_{i=1}^n (x_i + y_i) \in \mathbb{R}[\bar{x}, \bar{y}].$$

$\mathcal{B}$  has sparsity  $2^n$  with respect to the “natural” basis  $\mathcal{M} = \{x_1 \cdots x_n, x_1 \cdots x_{n-1}y_n, \dots, y_1 \cdots y_n\}$ .

We can think of  $\mathcal{B}$  as encoding the uniform distribution over  $n$ -bit strings by identifying the elements of  $\mathcal{M}$  with  $n$ -bit strings and the coefficients with the respective probabilities.

# Approximating the $\mathcal{B}$ -polynomial

## Definition

A polynomial  $P(\bar{x}, \bar{y})$  is said to  $(w, \varepsilon)$ -approximate  $\mathcal{B}$  if for every sequence of zero-one  $w \times w$  stochastic matrices  $X_1, \dots, X_n, Y_1, \dots, Y_n$ , it holds that

$$\|\mathcal{B}(\bar{X}, \bar{Y}) - P(\bar{X}, \bar{Y})\| \leq \varepsilon.$$

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## Lemma (The Naïve Derandomization Lemma)

Let  $P$  be a polynomial that  $(w = n, \varepsilon = 1/3)$ -approximate  $\mathcal{B}$ . Assume  $P$  has the following properties:

*Sparsity.*  $P$  has sparsity  $s$  with respect to  $\mathcal{M}$ ; and

*Explicitness.* Every coefficient of  $P$  is computable in space  $O(\log s)$ .

Then, **BPL**  $\subseteq$  **DSPACE**( $\log s$ ).

# Approximating the **BPL** polynomial

## Theorem (easy)

*For every  $n, w, \varepsilon \exists P \in \mathbb{R}[\bar{x}, \bar{y}]$  with sparsity  $s = (nw/\varepsilon)^{O(1)}$  that  $(w, \varepsilon)$ -approximate  $\mathcal{B}$ .*

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## Theorem (Nisan'92)

For every  $n, w, \varepsilon$  there exists an **explicit** polynomial  $P \in \mathbb{R}[\bar{x}, \bar{y}]$  with sparsity  $s = (nw/\varepsilon)^{O(\log n)}$  that  $(w, \varepsilon)$ -approximate  $\mathcal{B}$ . As a corollary, **BPL**  $\subseteq$  **L**<sup>2</sup>.

Nisan's polynomial has all coefficients equal (to  $1/s$ ). This corresponds to a **pseudorandom distribution**.

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Despite much success studying restricted settings, there has been no progress on improving Nisan's PRG.

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# Our contribution

## Theorem (Main result)

*For every  $n, w, \varepsilon$  there exists an explicit polynomial  $P \in \mathbb{R}[\bar{x}, \bar{y}]$  with sparsity*

$$s = (nw)^{\tilde{O}(\log n)} \cdot (1/\varepsilon)^{O(\log \log(1/\varepsilon))}$$

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The polynomial we construct has positive as well as **negative** coefficients, and these can be large in absolute value. Hence, the polynomial does not correspond to a distribution but rather to what we call a **pseudo-distribution**. This is perfectly fine for the purpose of derandomization and we view this as a feature.

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- We observe that sparsity  $s = n^{\log n}(w/\varepsilon)^{O(1)}$  would yield **BPL**  $\subseteq$  **L**<sup>4/3</sup> via the Saks-Zhou framework. A conditional result of Raz-Reingold gives  $s = (n/\varepsilon)^{\log n} w^{O(1)}$  (in the white-box model).

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- We observe that sparsity  $s = n^{\log n}(w/\varepsilon)^{O(1)}$  would yield  $\mathbf{BPL} \subseteq \mathbf{L}^{4/3}$  via the Saks-Zhou framework. A conditional result of Raz-Reingold gives  $s = (n/\varepsilon)^{\log n} w^{O(1)}$  (in the white-box model).
- Pseudo-random pseudo-distributions readily yield hitting sets (suitable for derandomizing  $\mathbf{RL}$ ). Thus, our work gave the first improved hitting set over Nisan's in the general setting. A substantially simpler construction was obtained afterwards by Hoza and Zuckerman (2018).

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# Nisan's construction

Nisan's construction is recursive. Recall that

$$\mathcal{B}(\bar{x}, \bar{y}) = 2^{-n} \prod_{i=1}^n (x_i + y_i),$$

Factor  $\mathcal{B} = \mathcal{B}_L \mathcal{B}_R$ , where

$$\mathcal{B}_L(\bar{x}, \bar{y}) = 2^{-n/2} \prod_{i=1}^{n/2} (x_i + y_i),$$

$$\mathcal{B}_R(\bar{x}, \bar{y}) = 2^{-n/2} \prod_{i=n/2+1}^n (x_i + y_i).$$

Say we recursively obtained  $P_L, P_R$  that  $\varepsilon(n/2)$ -approximate  $\mathcal{B}_L$  and  $\mathcal{B}_R$ , respectively, each having sparsity  $s(n/2)$ .

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Say we recursively obtained  $P_L, P_R$  that  $\varepsilon(n/2)$ -approximate  $\mathcal{B}_L$  and  $\mathcal{B}_R$ , respectively, each having sparsity  $s(n/2)$ . How can we approximate the product  $P_L P_R$  of approximations?

# Nisan's construction

Taking the naïve product  $P_L P_R$  will result in sparsity  $s(n/2)^2$  which will get us nowhere.

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## Definition (Samplers (Bellare-Rompel 1994))

A bipartite graph  $G = (L, R, E)$  is an  $(\epsilon, \delta)$ -sampler if  $\forall f : R \rightarrow [0, 1]$  there is a set  $B \subseteq L$  of size at most  $|B| \leq \delta|L|$  such that  $\forall v \in L \setminus B$ ,

$$|\mathbb{E}[f(\Gamma(v))] - \mathbb{E}[f(R)]| \leq \epsilon.$$

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## Theorem (Goldreich-Wigderson 1997)

*For every integer  $n$  and  $\varepsilon, \delta > 0$  there exists an explicit  $(\varepsilon, \delta)$ -sampler with  $|L| = |R| = n$  and left degree  $2^d = O(\varepsilon^{-2}\delta^{-1})$ .*

# Nisan's construction

Write  $P_L = \mathbb{E}[L_i]$  and  $P_R = \mathbb{E}[R_i]$ . Take an  $(\varepsilon_S, \delta_S)$ -sampler  $G$  with  $s(n/2)$  vertices on each side, and define

$$P_L \bullet_G P_R = \mathbb{E}_i [L_i \mathbb{E}_{j \sim \Gamma(i)} R_j].$$

Diagram illustrating the construction of  $P_L \bullet_G P_R$ :

- Left side:  $P_L$  (vertical line) with labels  $\frac{1}{s} L_i$  at the top and  $\frac{1}{s} L_s$  at the bottom.
- Middle:  $P_R$  (vertical line) with labels  $\frac{1}{s} R_i$  at the top and  $\frac{1}{s} R_s$  at the bottom.
- Operation: A blue dot and  $G$  between the two lines.
- Result:  $P_L \bullet_G P_R$  (vertical line) with a mapping  $[s] \times [s] \rightarrow (i,j)$  and the expression  $\frac{1}{s \cdot 2^d} L_i R_{\Gamma(i,j)}$ .

# Nisan's construction

## Lemma (The Derandomized Product Lemma)

For all zero-one  $w \times w$  stochastic matrices  $X_1, \dots, X_n, Y_1, \dots, Y_n$ ,

$$\|(P_L \bullet_G P_R)(\bar{X}, \bar{Y}) - P_L(\bar{X})P_R(\bar{Y})\| = O((\varepsilon_S + \delta_S)w).$$

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Taking  $\varepsilon_S = \delta_S \sim 2^{-d}$  and opening the recursion,

$$s(n) = s(n/2)2^d = \dots = 2^{d \log n},$$

$$\varepsilon(n) \leq 2\varepsilon(n/2) + 2^{-d}nw = \dots = 2^{-d}nw = \varepsilon,$$

and so  $s(n) = (nw/\varepsilon)^{O(\log n)}$ .

# Proof of the Derandomized Product Lemma

We will prove that  $\forall a_1, \dots, a_s, b_1, \dots, b_s \in [0, 1]$  with  $\mathbb{E}_i[a_i] = \alpha$ ,  $\mathbb{E}_i[b_i] = \beta$ , it holds that

$$\left| \mathbb{E}_i \left[ a_i \mathbb{E}_{j \sim \Gamma(i)} b_j \right] - \alpha\beta \right| = O(\varepsilon_S + \delta_S).$$

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$$|\mathbb{E}_i[a_i \mathbb{E}_{j \sim \Gamma(i)} b_j] - \alpha\beta| = O(\varepsilon_S + \delta_S).$$

If  $i$  is “good” then  $b_{\Gamma(i)} = \mathbb{E}_{j \sim \Gamma(i)} b_j \in [\beta - \varepsilon_S, \beta + \varepsilon_S]$ . Thus,

$$\begin{aligned} \mathbb{E}_i[a_i b_{\Gamma(i)}] &\leq \mathbb{E}_i[a_i b_{\Gamma(i)} \mid i \text{ good}] + \Pr[i \text{ not good}] \\ &\leq (\beta + \varepsilon_S) \mathbb{E}_i[a_i \mid i \text{ good}] + \delta_S \\ &\leq (\beta + \varepsilon_S) \frac{\alpha}{1 - \delta_S} + \delta_S \\ &= \alpha\beta + O(\alpha\varepsilon_S + \delta_S). \end{aligned}$$

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# An Observation

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- $\alpha$  is not small (either 1 or increasing with  $w$ , depending on the choice of norm); Furthermore,
- $\delta_S$  is not multiplied by  $\alpha$  and has the same effect on the degree  $d$ .

# Delta of samplers

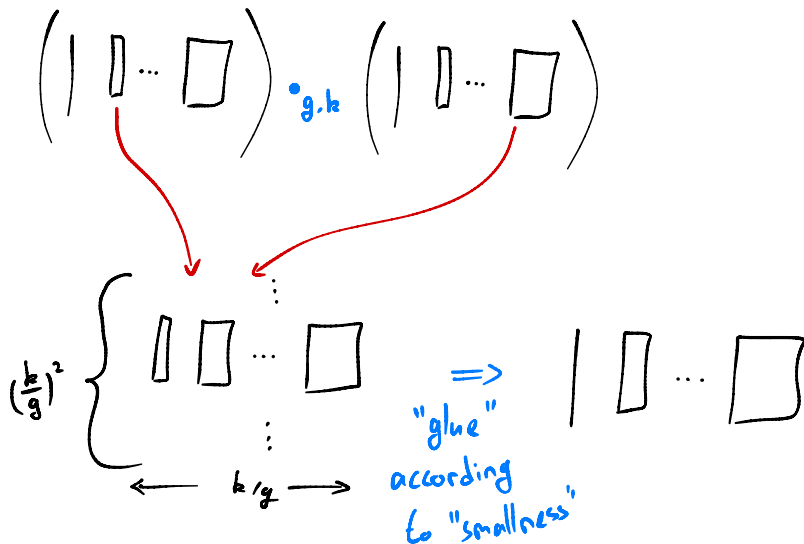
Take two samplers  $G_D$  and  $G_d$  with  $D \gg d$ .

$$\begin{array}{c} P_L \\ \frac{1}{s} L_s \end{array} \bullet G_D - G_d \begin{array}{c} P_R \\ \frac{1}{s} R_s \end{array} = \begin{array}{c} \begin{array}{cc} \xleftarrow{z^d} & \xleftarrow{z^D} \\ j & k \end{array} \\ \uparrow \downarrow s \\ \begin{array}{|c|c|} \hline & \\ \hline \end{array} \\ \begin{array}{cc} \square & \square \\ \uparrow & \uparrow \\ -\frac{1}{s \cdot 2^d} L_i R_D(i,j) & +\frac{1}{s \cdot 2^D} L_i R_D(i,k) \end{array} \end{array} = \begin{array}{c} i \\ \bullet P_i \in \mathbb{R}[\bar{x}] \end{array}$$

# Graded representations

$$\begin{array}{c} P_L \\ \frac{1}{2} L_1 \\ \vdots \\ \frac{1}{2} L_s \end{array} \cdot_{g,k} \begin{array}{c} P_R \\ \frac{1}{2} R_1 \\ \vdots \\ \frac{1}{2} R_s \end{array} = \begin{array}{c} P_L \cdot G_g \cdot P_R \\ \vdots \end{array} \begin{array}{c} E_{2g} \\ P_L \cdot G_{2g} \cdot G_g \cdot P_R \\ \vdots \end{array} \begin{array}{c} E_{2g} \\ P_L \cdot G_{2g} \cdot G_{2g} \cdot P_R \\ \vdots \end{array} \dots \begin{array}{c} E_k \\ P_L \cdot G_k \cdot G_{k-g} \cdot P_R \\ \vdots \end{array}$$

# Product of graded representations



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