

# Non-Malleable Extractors



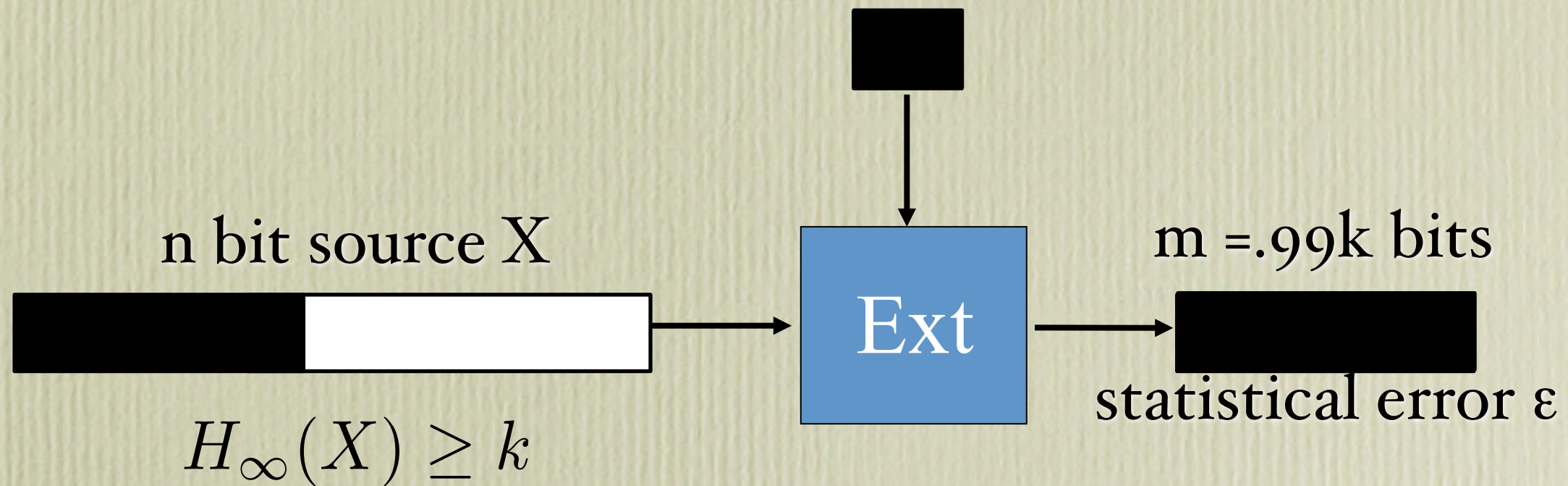
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# Seeded Extractor

[Nisan-Zuckerman '93,..., Guruswami-Umans-Vadhan '07, DW'08, DKSS'09]

$d = O(\log(n/\epsilon))$  uniform bit seed  $Y$



$(\text{Ext}(X, Y), Y)$

Strong extractor:

$(m+d)$  bits

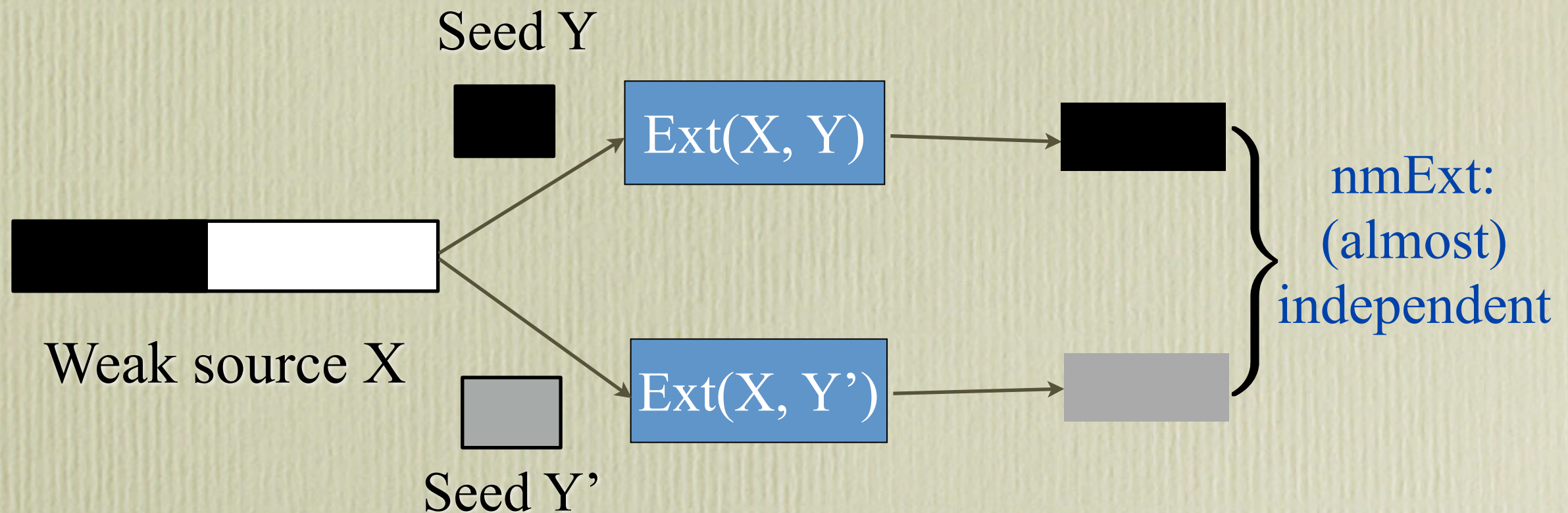
Seed=Catalyst



# Non-Malleable Extractor

[Dodis-Wichs 2009]

An adversary changes the seed  $Y$  to  $Y' \neq Y$ .

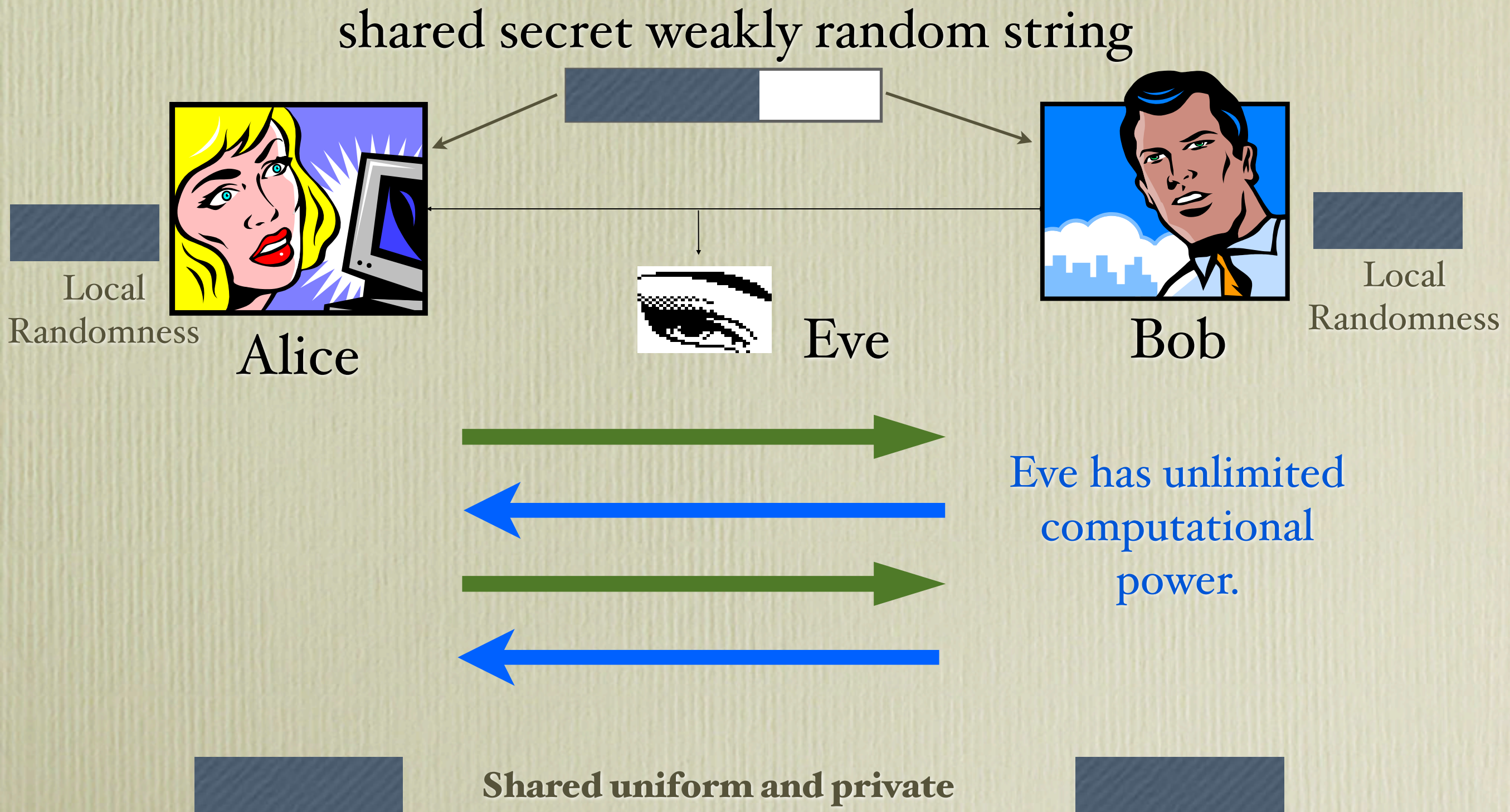


How correlated are the two outputs?



# Privacy Amplification

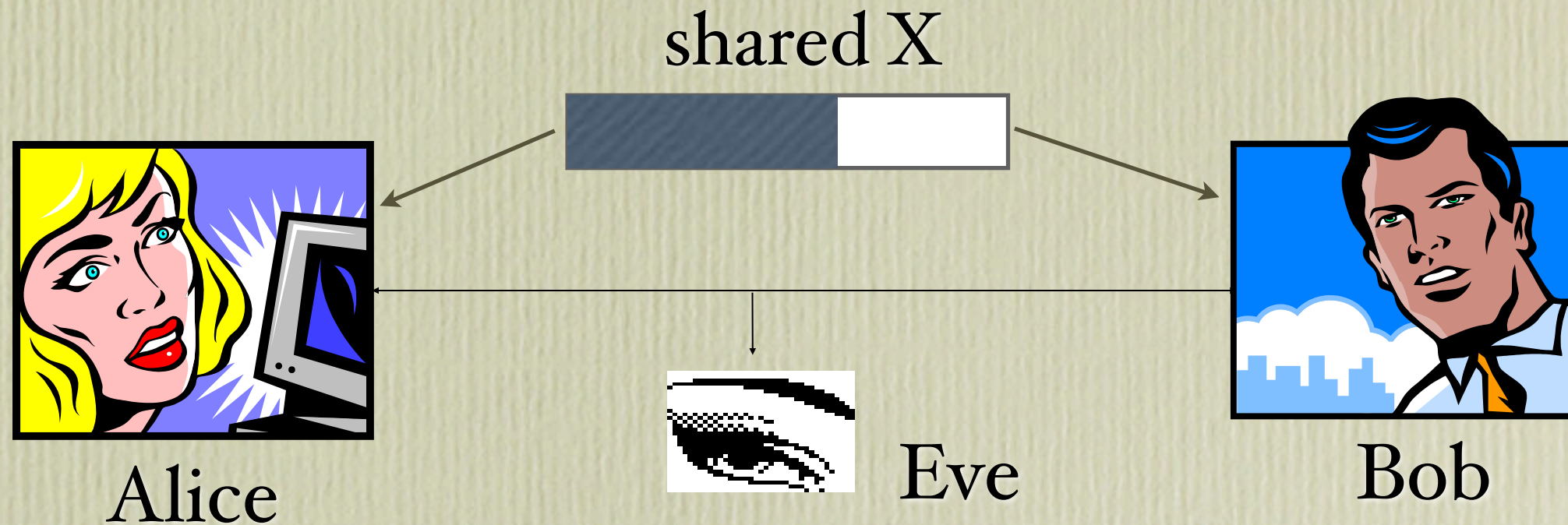
[Bennett, Brassard, Robert 1985]



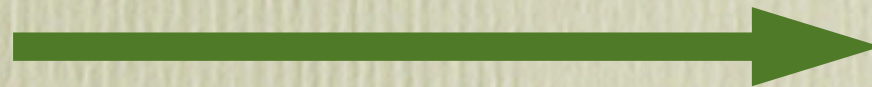


# Privacy Amplification with **Passive** Adversary

[Bennett, Brassard, Robert 1985]

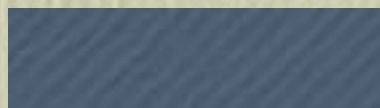


Pick random  $Y$



$Y$

$\text{Ext}(X, Y)$



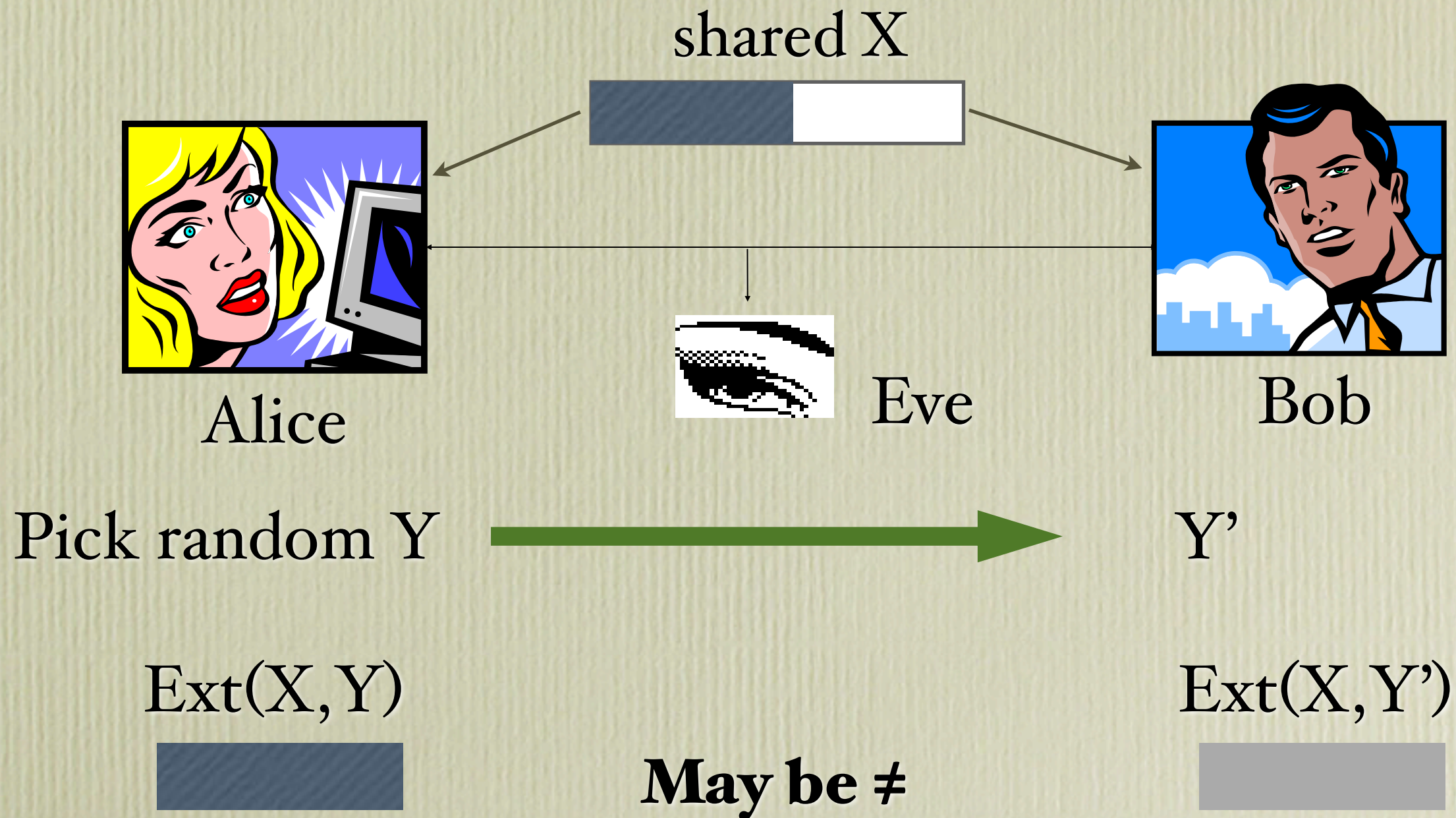
**Shared uniform and private**

$\text{Ext}(X, Y)$





# Seeded Extractor Fails for **Active** Adversary

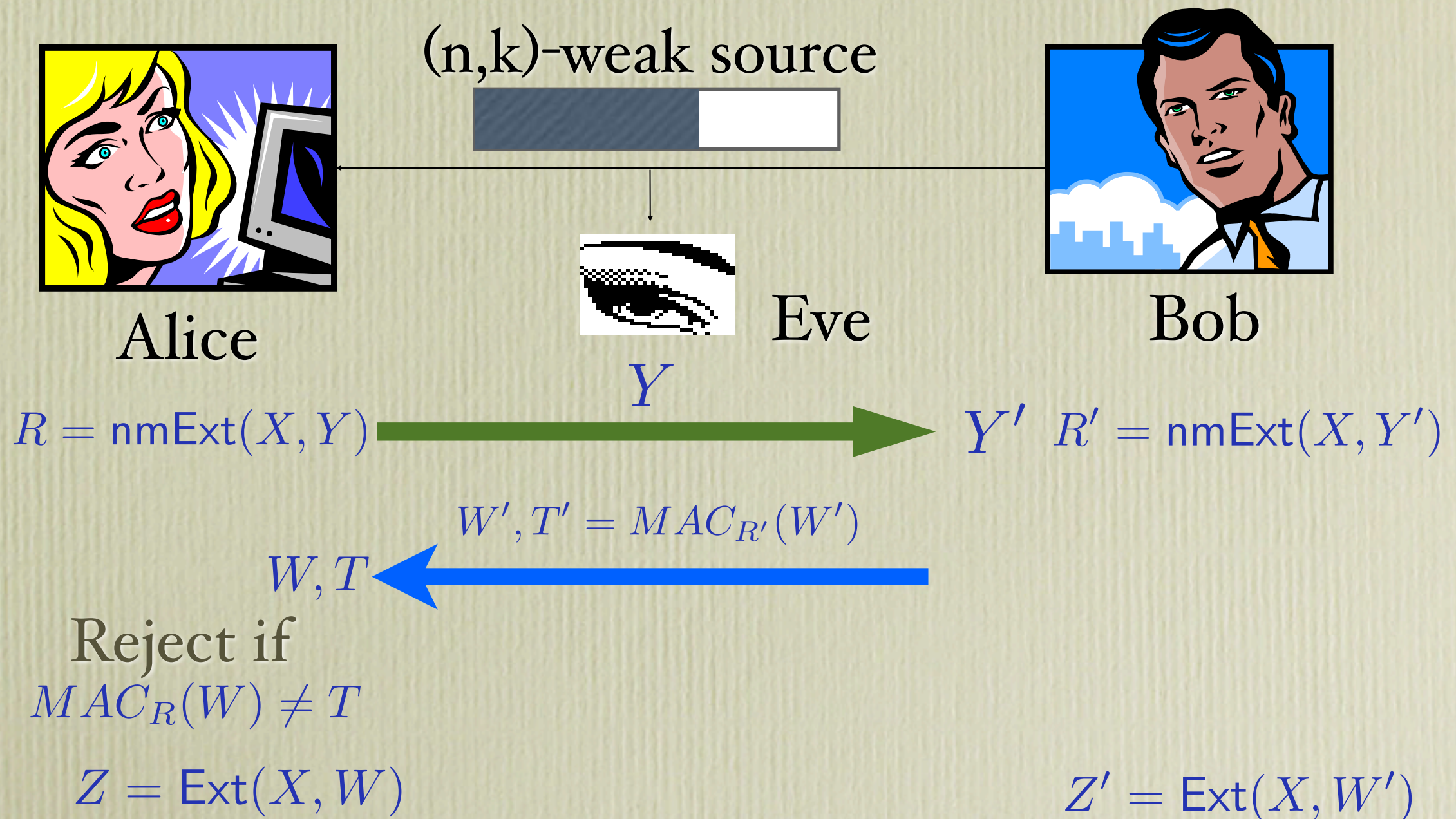


Active adversary: can arbitrarily insert, delete, reorder messages



# Privacy Amplification with nmExt

[Dodis-Wichs'09]





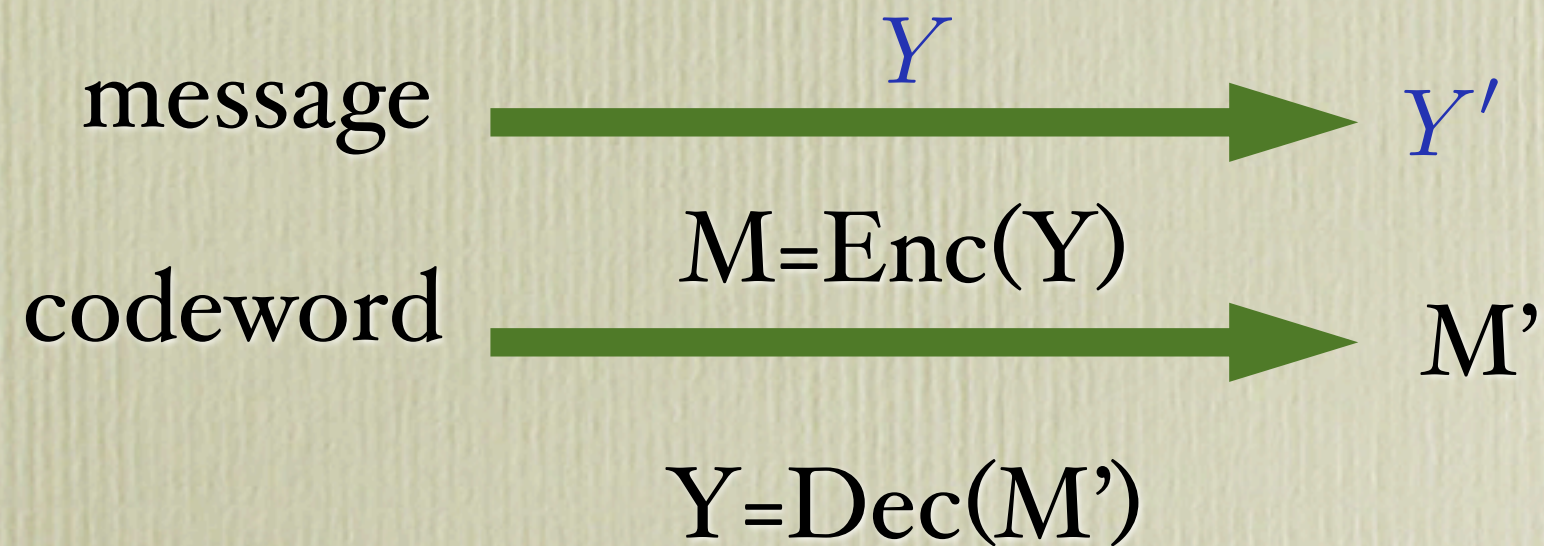
# Non-Malleable Extractor

[Dodis-Wichs 2009]

- No one-round protocol if  $k < n/2$ , and optimal 2-round protocols follow from non-malleable extractors.
- If Eve is passive, then the protocol succeeds.
- If Eve is active, then the protocol detects the tampering and aborts w.h.p.
- Another important application: independent source (e.g., two-source) extractors.



# Error correcting codes





# Error correcting codes

- However, the type of error one can correct is limited—symbol erasure/modification.
- How to handle more general error?
- Error detection — however, cannot even detect a function that changes all codewords into a fixed string.



# Non-Malleable (NM) Codes

[Dziembowski, Pietrzak and Wichs 2010]

- Fix a family of tampering functions  $F$  on  $\{0,1\}^n$ .
- Non-malleable code  $C$  on  $\{0,1\}^n$  against  $F$  consists of:
  - Randomized encoder:  $\text{Enc}: \{0,1\}^m \rightarrow \{0,1\}^n$
  - Deterministic decoder:  $\text{Dec}: \{0,1\}^n \rightarrow \{0,1\}^m$ 
    - 1) For all  $s$ ,  $\text{Dec}(\text{Enc}(s)) = s$ .
    - 2) For any  $f$  in  $F$ , either  $\text{Dec}(f(\text{Enc}(s))) = s$ , or is a probability distribution independent of  $s$ .

rate of the code:  $m/n$



# Existential Result

[Cheraghchi-Guruswami'14a]

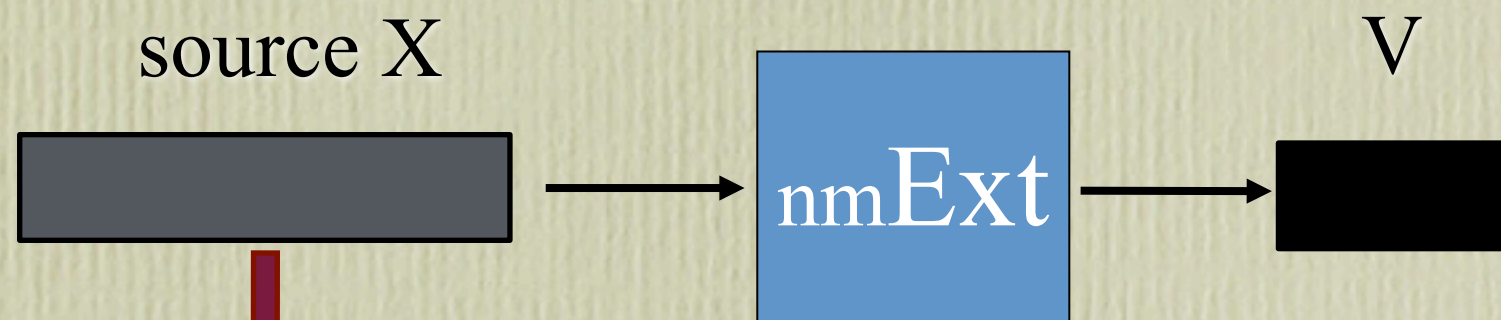
- If the size of the class of tampering functions is limited:  $|\mathcal{F}| \leq 2^{2^{\alpha n}}$
- There exists non-malleable codes against  $\mathcal{F}$  with rate close to  $1-\alpha$  with exponentially small error.
- Explicit constructions known for: split-state tampering, NC0, AC0, affine functions...



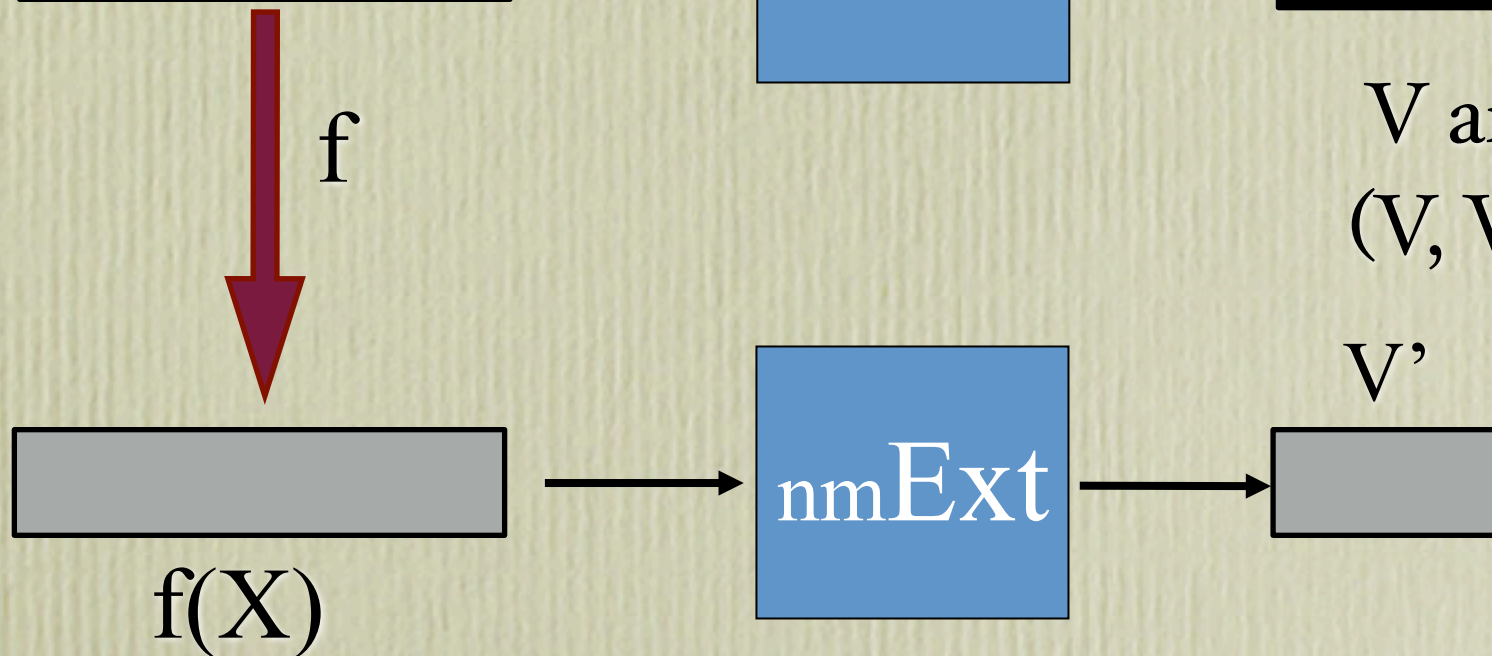
# Connections to nm Extractors

[Cheraghchi-Guruswami'14b]

Uniform or high entropy  
source  $X$



$V$  and  $V'$  each has  $m$  bits.  
 $(V, V')$  is  $\varepsilon$ -close to  $(U, V')$ .



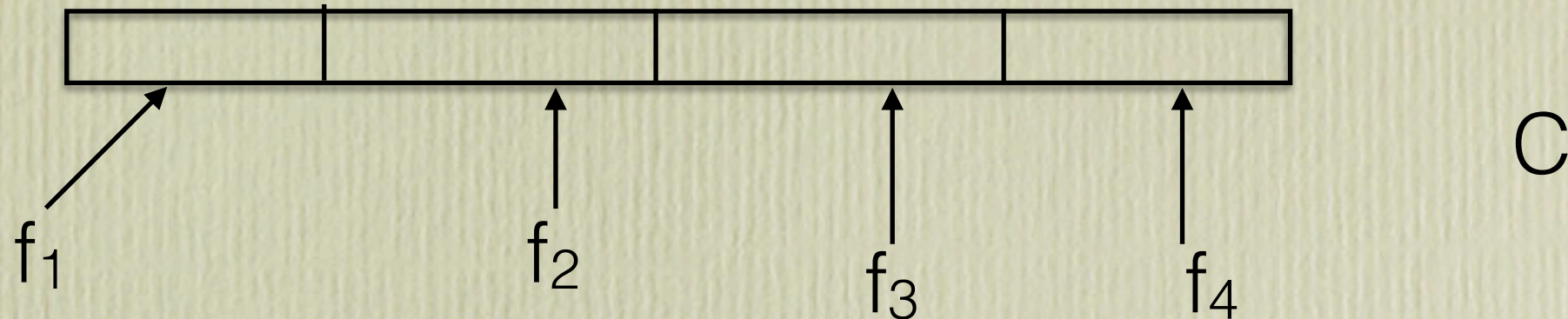
This gives a non-malleable code against  $f$  with rate  $m/n$  and error  $2^m \varepsilon$ .

Encoding: uniformly sample the pre-image of  $V$ .

Decoding: compute the output of the extractor.



# The split state model



- Non explicit: non-malleable codes exist in the 2-split state with constant rate and exponentially small error.
- 2-split state model corresponds to a non-malleable two-source extractor.



# Constructions of Seeded nm Extractors

- Non explicit:  $k=O(m+\log d+\log(1/\varepsilon))$ ,  $d=O(\log(n/\varepsilon))$ .
- Lower bound on  $k$ :  $k=\Omega(\log \log n)$  [GS'17].
- Best constructions: either  $k$  or  $d$  can be optimal, the other has a  $\log^{1+o(1)}(1/\varepsilon)$  dependence on  $\varepsilon$ , or both have  $\log(1/\varepsilon)\log \log(1/\varepsilon)$  dependence on  $\varepsilon$  [L'17, L'18].



# Constructions of nm codes in the split state model

- 2-split state model: [DKO'13, ADL'14, ADKO'15, CGL'16, L'17] give codes with rate  $1/\log n$  and exponentially small error.
- 3&4-split state model: [KOS'17, GMW'18] constant rate with negligible error.
- 10-split state model: [CZ'14] gives codes with constant rate and exponentially small error.
- 2-split state model: [L'18] gives codes with constant rate and arbitrarily small constant error.



# Constructions of nm Extractors

- Early constructions use character sums [DLWZ11], small biased sample space [CRS12], and inner product [L'12].
- Only work for entropy rate at least  $1/2$  (or slightly below).



# A Simple Construction of nmExt for $k > n/2$ [L'12]

- $\text{Ext}(x, y) = \langle x, y \rangle$  over  $F_2$ .
- Two-source extractor for  $(n, k_1)$  and  $(n, k_2)$  sources with  $k_1 + k_2 > n$ .
- Let  $X$  be an  $(n, k > n/2)$  source.
- Let  $Y$  be a uniform random seed with  $n/2$  bits.
- View  $Y$  as an element in  $F_2^n$  and let  $\text{Enc}(Y) = (Y, Y^3)$ .
- $\text{nmExt}(x, y) = \langle x, \text{Enc}(y) \rangle$  over  $F_2$ .



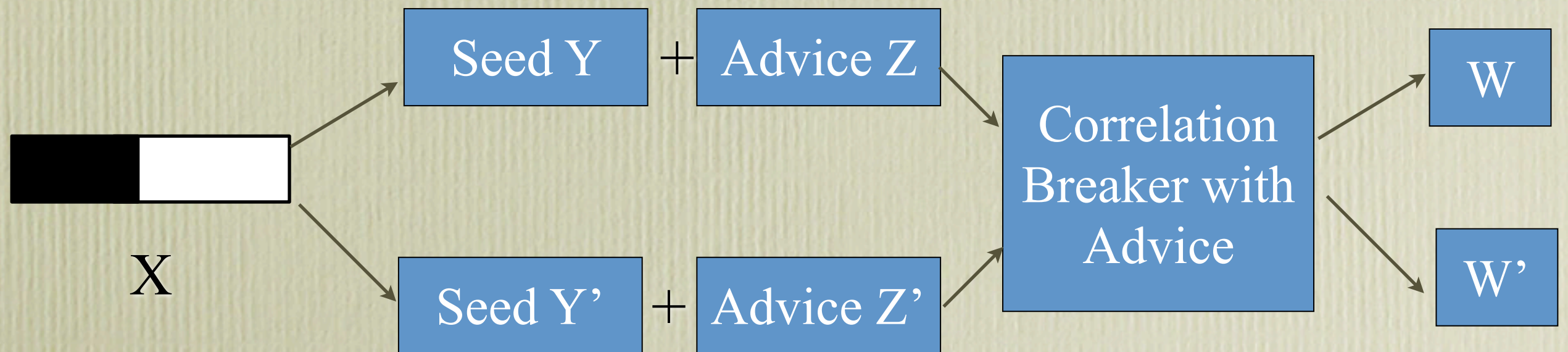
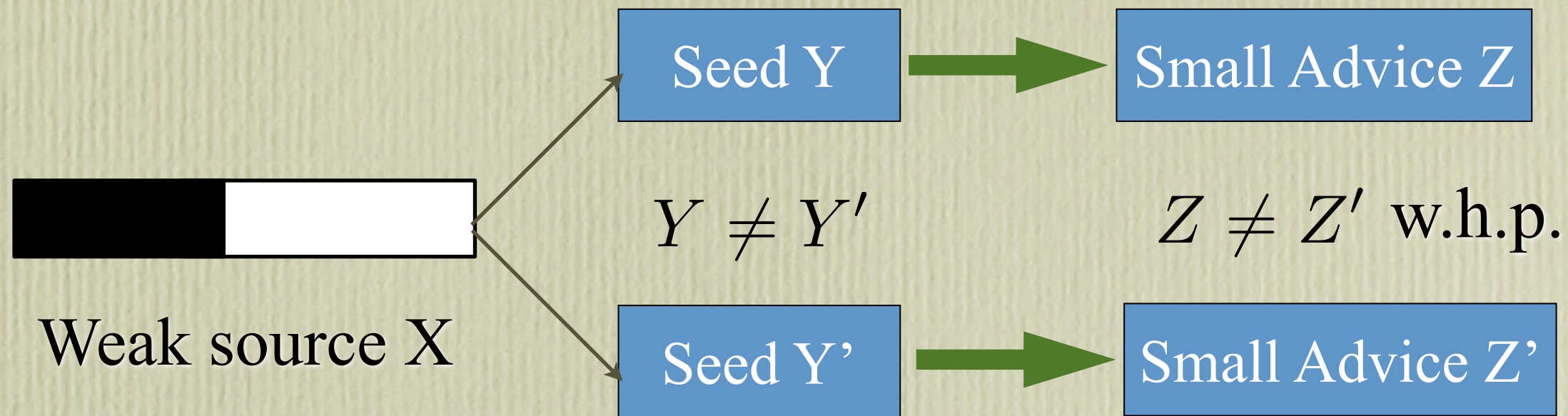
# Analysis

- $\text{Enc}(Y)=(Y, Y^3)$  is injective  $\Rightarrow \text{Enc}(Y)$  has entropy  $n/2 \Rightarrow \text{nmExt}(X, Y)$  is close to uniform.
- $\text{Enc}(Y)=(Y, Y^3)$  is 4-wise linearly independent over  $F_2$   
 $\Rightarrow \text{Enc}(Y) + \text{Enc}(f(Y))$  has entropy at least  $n/2 - 1$ .
- $\text{nmExt}(X, Y) \oplus \text{nmExt}(X, f(Y))$  is close to uniform.
- Recently shown to be the first quantum-proof nm extractor [ACLV'17].



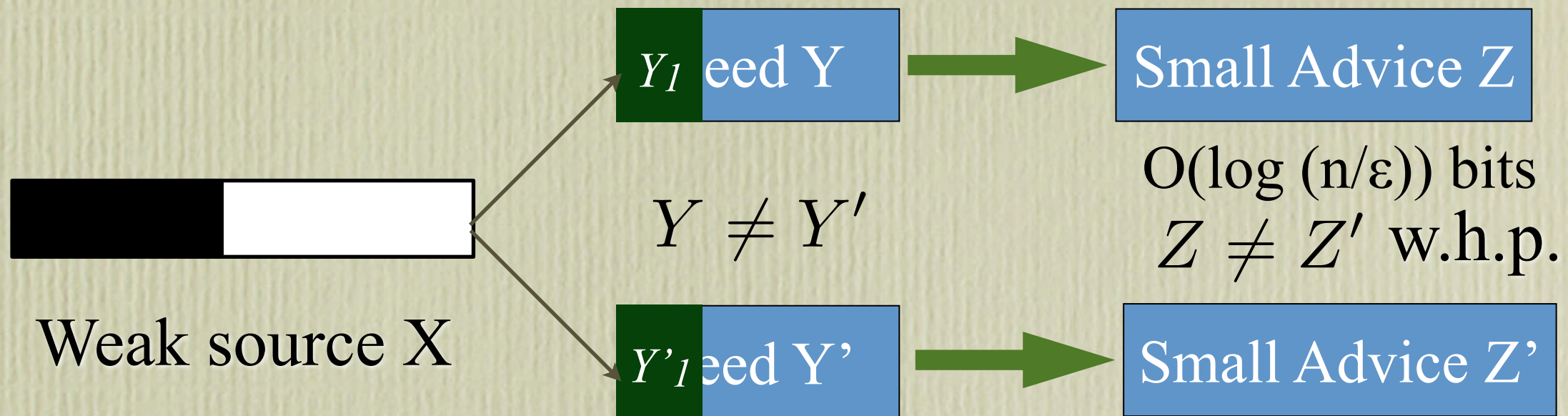
# More Recent Constructions

[CGL'16, Cohen'17, L'17, L'18]





# Advice Generation [CGL'16]



Take a small slice  $Y_1$  of  $Y$ , and  $Y'_1$  of  $Y'$

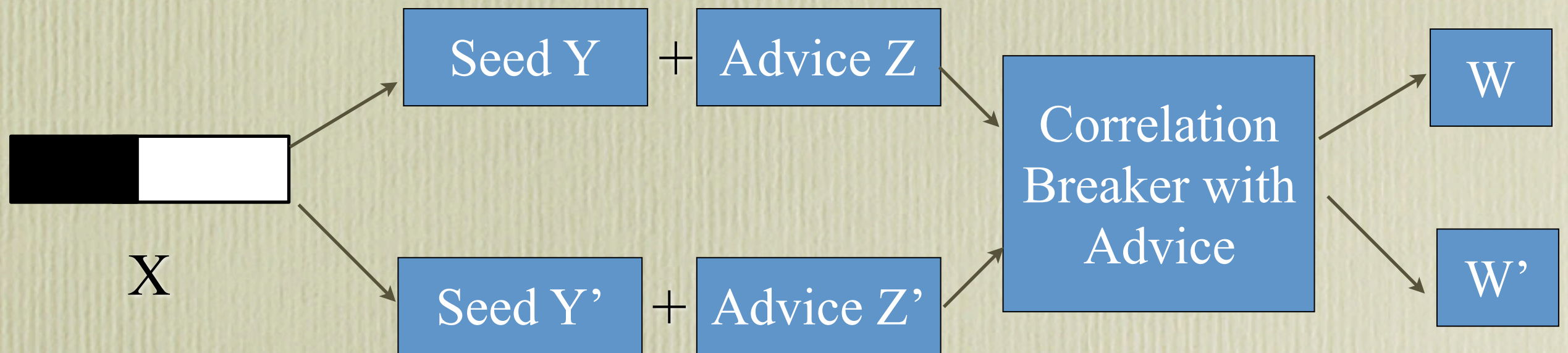
Compute  $V = \text{Ext}(X, Y_1)$  and  $Z = (\text{Sample}(\text{Enc}(Y), V), Y_1)$

If  $Y_1 \neq Y'_1$ , done.

Otherwise  $V = V'$ ,  $\text{Enc}(Y)$  and  $\text{Enc}(Y')$   
has a large distance, so  $Z \neq Z'$  w.h.p.



# Correlation Breaker with Advice

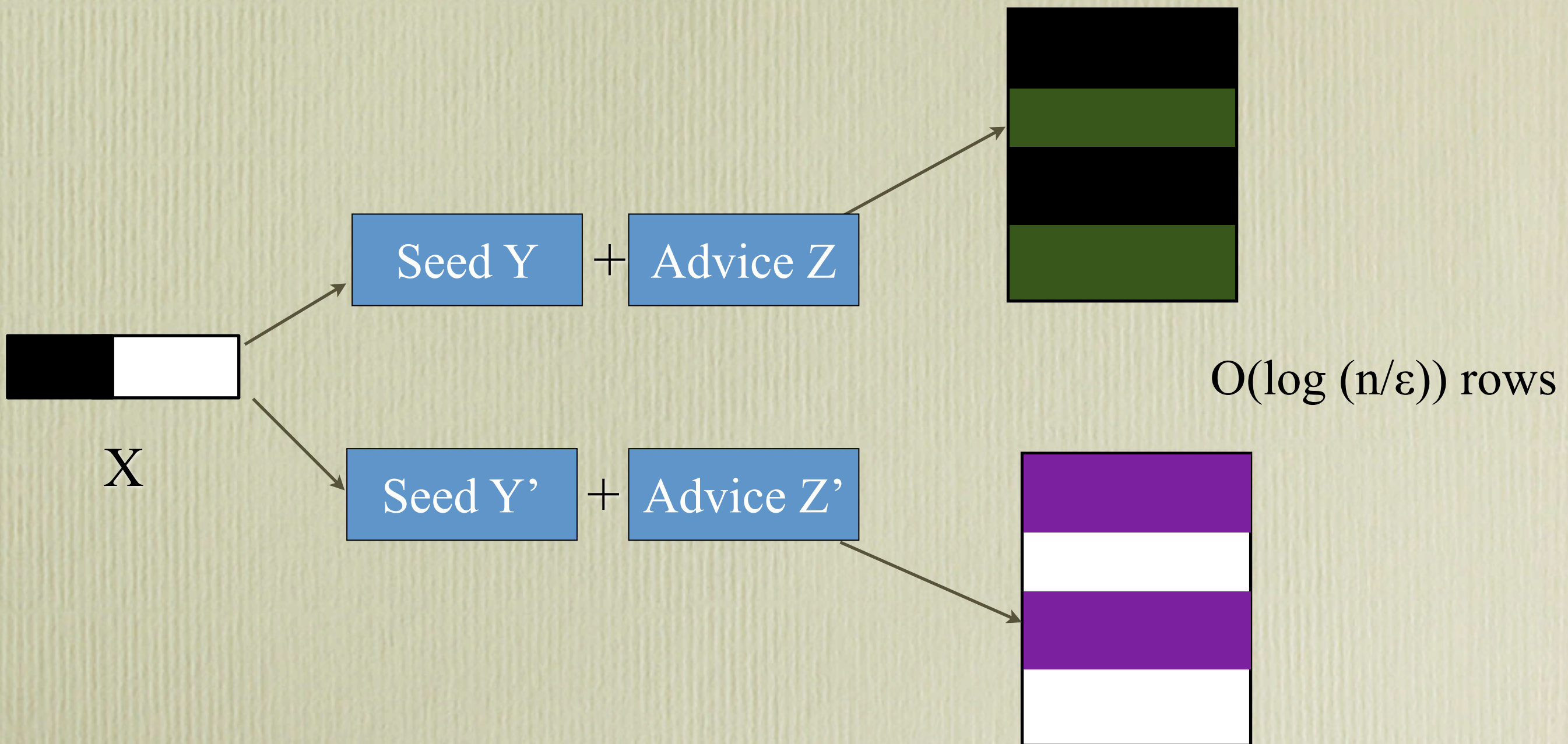


Many Constructions of Correlation Breakers

The most efficient one uses independence preserving mergers.



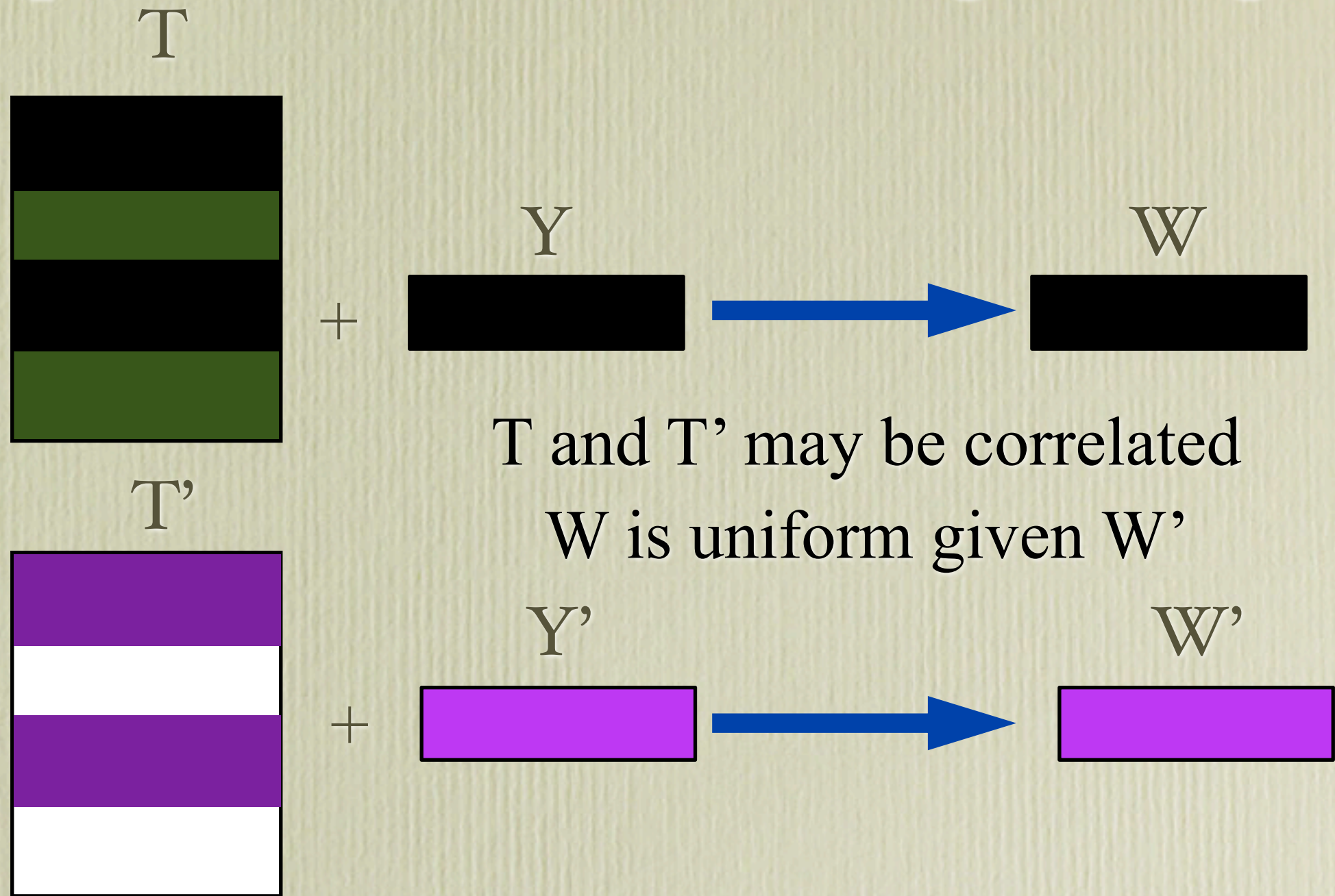
# Correlation Breaker: First Step



Use each bit of  $Z$  ( $Z'$ ) to do a flip-flop extraction



# Independence Preserving Merger



Every row of  $T$  is uniform, and  
 $\exists i$  s.t.  $T_i$  is uniform given  $T'_i$  (by flip-flop extraction)